



SMIFS CAPITAL MARKETS LIMITED

July 04, 2022

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700 001

Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on June 30, 2022 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,
For SMIFS Capital Markets Limited

Ayanti Sen
(Ayanti Sen)
Company Secretary-cum-Compliance Officer



Encl: a/a

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General information about company

Scrip code

508905

NSE Symbol

MSEI Symbol

ISIN

INE641A01013

Name of the company

SMIFS CAPITAL MARKETS LIMITED

Whether company is SME

No

Class of Security

Equity Shares

Type of report

Quarterly

Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)

30-06-2022

Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date

Shareholding pattern filed under

Regulation 31 (1) (b)

Whether the listed entity is Public Sector Undertaking (PSU)?

No

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(AYANTI SEN)
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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

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Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rightsheld in each class of securities (IX)		
								No of Voting (XIV) Rights		
								Class eg: X	Class eg:Y	Total
(A)	Promoter & Promoter Group	12	2534051			2534051	45.37	25,34,051.00		2534051.00
(B)	Public	1298	3050949			3050949	54.63	3050949.00		3050949.00
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total	1310	5585000			5585000	100	5585000.00		5585000.00

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Total as a % of (A+B+C)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xii) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (Xi) = (Vii)+[X] As a % of (A+B+C2)	Number of Locked in shares (Xiii)		Number of Shares pledged or otherwise encumbered (Xiv)		Number of equity shares held in dematerialized form (Xv)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
45.37				45.37					2534051
54.63				54.63					3003009
100.00				100					5537060

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Sr.		Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		
									No of Voting (XIV) Rights		
									Class eg: X	Class eg: Y	Total
A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1) Indian											
(a)		Individuals/Hindu undivided Family	9	784350			784350	14.04	784350.00		784350
(b)		Central Government/ State Government(s)									
(c)		Financial Institutions/ Banks									
(d)		Any Other (specify)	3	1749701			1749701	31.33	1749701.00		1749701
Sub-Total (A)(1)			12	2534051			2534051	45.37	2534051.00		2534051
(2) Foreign											
(a)		Individuals (Non-Resident Individuals/ Foreign Individuals)									
(b)		Government									
(c)		Institutions									
(d)		Foreign Portfolio Investor									
(e)		Any Other (specify)									
Sub-Total (A)(2)											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			12	2534051			2534051	45.37	2534051.00		2534051
Details of Shares which remain unclaimed for Promoter & Promoter Group											
B Table III - Statement showing shareholding pattern of the Public shareholder											
Note : Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual.											
(1) Institutions											
(a)		Mutual Funds									
(b)		Venture Capital Funds									
(c)		Alternate Investment Funds									
(d)		Foreign Venture Capital Investors									
(e)		Foreign Portfolio Investors	1	550000			550000	9.85	550000.00		550000
(f)		Financial Institutions/ Banks									
(g)		Insurance Companies									
(h)		Provident Funds/ Pension Funds									
(i)		Any Other (specify)									
Sub-Total (B)(1)			1	550000			550000	9.85	550000.00		550000

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(2)	Central Government/ State Government(s)/ President of India								
Sub-Total (B)(2)									
(3)	Non-institutions								
	Individuals -								
(a(i))	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1203	562428		562428	10.07	562428		562428
	Individuals -								
(a(ii))	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	10	993515		993515	17.79	993515		993515
(b)	NBFCs registered with RBI								
(c)	Employee Trusts								
(d)	Overseas Depositories (holding DRs) (balancing figure)								
(e)	Any Other (specify)	84	945006		945006	16.92	945006		945006
Sub-Total (B)(3)		1297	2500949		2500949	44.78	2500949.00		2500949
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1298	3050949		3050949	54.63	3050949.00		3050949
Details of the shareholders acting as persons in Concert for Public									
Details of Shares which remain unclaimed for Public									
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(1)	Custodian/DR Holder - Name of DR Holders (If Available)								
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)								
Total NonPromoter- Non Public Shareholding (C) = (C)(1)+(C)(2)									
Total (A+B+C)		1310	5585000		5585000	100.00	5585000.00		5585000
Total (A+B+C)		1310	5585000		5585000	100.00	5585000.00		5585000
Disclosure of notes on shareholding pattern							Add Notes		
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage							Add Notes		
Disclosure of notes in case of public share holding is less than 25 percentage							Add Notes		
Disclosure of notes on shareholding pattern for company remarks explanatory							Add Notes		

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class of securities (IX)

Total as a % of Total Voting rights	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
14.04				14.04					784350
31.33				31.33					1749701
45.37				45.37					2534051
45.37				45.37					2534051
9.85				9.85					550000
9.85				9.85					550000

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10.07				10.07		
17.79				17.79		
16.92				16.92		
44.78				44.78		
54.63				54.63		

523588
993515
935906
2453009
3003009

100.00				100.00				5537060
100.00				100.00				5537060

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)+(XI)(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type	
						No of Voting (XIV) Rights		Total as a % of Total Voting rights						
						Class eg.X	Total							
A1(a) Individuals/Hindu undivided Family														
<div>AddDelete</div>														
1	AJAY KUMAR KAYAN	AGGPK0892G	400550	400550	7.17	400550.00	400550.00	7.17		7.17	400550		Promoter	
	LALITA KAYAN	AGGPK0893H	154300	154300	2.76	154300.00	154300.00	2.76		2.76	154300		Promoter Group	
3	NILANGI PAREKH	AJPPP3852K	123000	123000	2.20	123000.00	123000.00	2.20		2.20	123000		Promoter Group	
4	UTSAV PAREKH	AGHPP4467H	96200	96200	1.72	96200.00	96200.00	1.72		1.72	96200		Promoter	
5	RAHUL KAYAN	AGAPK0442L	7000	7000	0.13	7000.00	7000.00	0.13		0.13	7000		Promoter Group	
6	SUMAN BHARTIA	AEEPB9602B	1500	1500	0.03	1500.00	1500.00	0.03		0.03	1500		Promoter Group	
7	GAURI SHANKAR AJAY KAYAN (HUF)	AABHG5183R	1000	1000	0.02	1000.00	1000.00	0.02		0.02	1000		Promoter Group	
8	AJAY KUMAR KAYAN HUF	AACHA9009B	700	700	0.01	700.00	700.00	0.01		0.01	700		Promoter Group	
9	PAYAL SARAF	AFYPK7045N	100	100	0.00	100.00	100.00	0.00		0.00	100		Promoter Group	
Click here to go back			Total	784350	14.04	784350.00	784350.00	14.04		14.04	784350			

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Searial No.	Category	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Vot	
								No of Vo	
								Rig	
								Class eg: X	
A1(d) Any Other (specify)									
<div style="display: flex; justify-content: space-around;"> Add Delete </div>									
1	Bodies Corporate	MACKERTICH CONSULTANCY SERVICES PRIVATE	AABCM5680Q	1	1115700	1115700	19.98	1115700.00	
2	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1	357251	357251	6.40	357251.00	
3	Bodies Corporate	STEWART INVESTMENT AND FINANCIAL PRIVATE	AADCS8612C	1	276750	276750	4.96	276750.00	
				Total	3	1749701	1749701	31.33	1749701.00

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ing Rights held in each class of securities		No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
ting (XIV) hts	Total as a % of Total Voting rights					
Total						
1115700.00	19.98		19.98	1115700		Promoter
357251.00	6.40		6.40	357251		Promoter
276750.00	4.96		4.96	276750		Promoter
1749701.00	31.33		31.33	1749701		

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None		Validate											
Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		Total as a % of Total Voting rights	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN		
						No of Voting (XIV) Rights							
						Class eg: X	Total						
B1(e) Foreign Portfolio Investors													
Disclosure of shareholder holding more than 1% of total number of shares													
Add		Delete											
1	THE INDIAMAN FUND (MAURITIOUS) LIMITE	AAACT4855D	550000	550000	9.85	550000.00	550000.00	9.85	9.85	550000			
Click here to go back			Total	550000	550000	9.85	550000.00	550000.00	9.85	550000			

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Serial No	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B=C2)	Number of Voting Rights held in each class of securities			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN		
						No of Voting (XIV) Rights		Total as a % of Total Voting rights					
						Class eg: X	Total						
B3(a)(i) Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.													
Add		Delete		Disclosure of shareholder holding more than 1% of total number of shares									
1	MINA, BHARAT PATEL	AACPP5126G	323339	323339	5.79	323339.00	323339.00	5.79	5.79	323339			
2	SN RAJAN	ANWPS3969F	188619	188619	3.38	188619.00	188619.00	3.38	3.38	188619			
3	SANGEETHA S	AUIPS3739F	182590	182590	3.27	182590.00	182590.00	3.27	3.27	182590			
4	PUNJABHA KESHAVBHAI JADEJA	AIDPK1160L	97711	97711	1.75	97711.00	97711.00	1.75	1.75	97711			
Click here to go back			Total	792259	14.19	792259.00	792259.00	14.19	14.19	792259			

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Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)
B3(e) Any Other (specify)						
Add Delete						
1	IPR	Category			1	111442
2	Bodies Corporate	Category			38	789718
3	HUF	Category			38	39434
4	Clearing Members	Category			3	379
5	Non-Resident Indian (NRI)	Category			4	4035
					Click here to go back	Total
					34	945006

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Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
		No of Voting (XIV) Rights		Total as a % of Total Voting rights			
		Class eg: X	Total				

111442	2.00	111442	111442	2.00	2.00	111442	
789716	14.14	789716	789716	14.14	14.14	783616	
39434	0.71	39434	39434	0.71	0.71	39434	
379	0.01	379	379	0.01	0.01	379	
4035	0.07	4035	4035	0.07	0.07	1035	
945006	16.93	945006.00	945006.00	16.93	16.93	935906	

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